

**UTILITY PATENT APPLICATION TRANSMITTAL**
(Only for new nonprovisional applications under 37 CFR 1.53(b))**Attorney Docket No.:** 006747.P001

(maximum 12 characters)

First Named Inventor: Vipul Ved Prakash**Title:** Method and Apparatus to Block Spam Based on Spam Reports from a Community of Users**Express Mail Label No.:** EV 336588768 US**ADDRESS TO:** Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original and a duplicate for fee processing)
2. **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. **Specification (Total Pages 22)**
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table,
or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. **Drawings(s) (35 USC 113) (Total Sheets 5)**
5. **Oath or Declaration (Total Pages 4)**
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 18 completed)
 - i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting
inventor(s) named in the prior application, see 37 CFR 1.63(d)(2)
and 1.33(b).
 - c. Unsigned.
6. **Application Data Sheet. (37 CFR 1.76)**
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. _____ Assignment Papers (cover sheet & documents(s))
10. _____ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
_____ b. Power of Attorney
11. _____ English Translation Document (if applicable)
12. _____ a. Information Disclosure Statement (IDS)/PTO-1449 (or PTO/SB/08)
_____ b. Copies of IDS Citations
13. _____ Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. _____ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17A. _____ Claim for Foreign Priority
17B. _____ Other: _____
- 17C.** Pursuant to 37 C.F.R. 1.136(a)(3), applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 C.F.R. 1.16 and 1.17, to Deposit Account No. 02-2666.

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title (e.g., by way of preliminary amendment), or in an Application Data Sheet Under 37 C.F.R. 1.76:

Continuation _____ Divisional _____ Continuation-in-part (CIP) _____
Of Prior Application No.: _____ Examiner _____ Group Art Unit _____
(which is a _____ continuation/ _____ divisional/ _____ CIP of prior application no. _____,
which is a _____ continuation/ _____ divisional/ _____ CIP of prior application no. _____) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.
For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. Correspondence Address
Customer Number or Bar Code Label _____
or _____ (Insert Customer No. or Attach Bar Code Label
here)
 Correspondence Address Below
NAME John P. Ward
ADDRESS BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
12400 Wilshire Boulevard
Seventh Floor
CITY Los Angeles STATE California ZIP CODE 90025
Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-8383

Nam (PRINT/TYPE): John P. Ward Registration No.: 40,216
Signature: [Signature] Dat : 11/03/03

17236
110303


FEE TRANSMITTAL FOR FY 2004						
(FY 2004 Begins 10/01/2003)						
TOTAL AMOUNT OF PAYMENT (\$) <u>810.00</u>						
Complete if Known:						
Application No. <u>Not Yet Assigned</u>						
Filing Date <u>Herewith</u>						
First Named Inventor <u>Vipul Ved Prakash</u>						
Examiner Name <u>Not Yet Assigned</u>						
Art Unit <u>Not Yet Assigned</u>						
Attorney Docket No. <u>006747.P001</u>						
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.						
METHOD OF PAYMENT (check all that apply)						
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None						
<input checked="" type="checkbox"/> Deposit Account						
Deposit Account Number : <u>02-2666</u>						
Deposit Account Name: _____						
<input checked="" type="checkbox"/> The Director is Authorized to do the following with respect to the above-identified Deposit Account:						
<input checked="" type="checkbox"/> Charge fee(s) indicated below.						
<input checked="" type="checkbox"/> Credit any overpayments.						
<input checked="" type="checkbox"/> Charge any additional fees during the pendency of this application.						
<input checked="" type="checkbox"/> Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.						
<input type="checkbox"/> Charge fee(s) indicated below <u>except for the filing fee</u> .						
FEE CALCULATION						
1. BASIC FILING FEE						
Large Entity		Small Entity				
Fee	Fee	Fee	Fee	Fee Description		Fee Paid
Code	(\$)	Code	(\$)	Utility application filing fee		\$770.00
1001	770	2001	385	Design application filing fee		_____
1002	340	2002	170	Plant filing fee		_____
1003	530	2003	265	Reissue filing fee		_____
1004	770	2004	385	Provisional application filing fee		_____
1005	160	2005	80			
SUBTOTAL (1) <u>\$ 770.00</u>						
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE						
Extra Claims				Fee from below	Fee Paid	
Total Claims	<u>18</u>	- 20** =	<u>0</u>	X	<u>18</u>	= <u>0</u>
Independent Claims	<u>3</u>	- 3** =	<u>0</u>	X	<u>86</u>	= <u>0</u>
Multiple Dependent						
**Or number previously paid, if greater; For Reissues, see below.						
Large Entity		Small Entity				
Fee	Fee	Fee	Fee	Fee Description		Fee Paid
Code	(\$)	Code	(\$)	Claims in excess of 20		_____
1202	18	2202	9	Independent claims in excess of 3		_____
1201	86	2201	43	Multipl dependent claim, if n't paid		_____
1203	290	2203	145	**R issue ind pend nt claims over original patent		_____
1204	86	2204	43	**Reissue claims in xc ss f 20 and over original patent		_____
1205	18	2205	9			
SUBTOTAL (2) <u>\$ 0.00</u>						

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Larg Entity Fee	Code	Entity Fe	Small Entity Fee	Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or attorney fee	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1813	8,800	1813	8,800	Request for inter partes reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive – unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	\$40.00
1809	770	2809	385	For filing a submission after final rejection (see 37 CFR 1.129(a))	
1814	110	2814	55	Statutory Disclaimer	
1810	770	2810	385	For each additional invention to be examined (see 37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.	
1505	300	1505	300	Publication fee for republication	
1803	130	1803	130	Request for voluntary publication or republication	
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
1454	1,330	1454	1,330	Acceptance of unintentionally delayed claim for priority	

Other fee (specify) _____

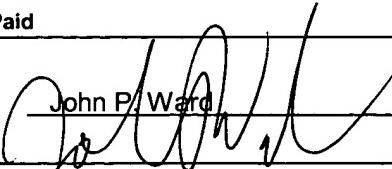
Other fee (specify) _____

SUBTOTAL (3) \$ 40.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: John P. Ward

Signature: 

Date: 11/03/03

Reg. Number: 40,216

Telephone Number: (408) 720-8300

The PTO did not receive the following listed item(s). Assignment document

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor Vipul Ved Prakash
Title Method and Apparatus to Block Spam Based on Spam Reports from a Community of Users
Attorney Docket No. 006747.P001
Express Mail No.: EV 336588768 US

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

11/03/03

Date



Signature

Telephone Number

John P. Ward
Typed or Printed Name

40,216
Registration No.

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**